



MAHARASHTRA NATIONAL LAW UNIVERSITY MUMBAI

DOCTOR OF PHILOSOPHY (Ph.D.) REGULATION 2019

PREAMBLE

These Regulations have been framed in accordance with the UGC (Minimum Standards and Procedures for Award of M.Phil. / Ph.D. Degrees) Regulations, 2016.

Whereas research in law and interdisciplinary enquiry of juridical concepts are a concomitant part of the National Law University model of advanced legal education and research. Law and research are integral part of learner centric curriculum of MNLU Mumbai;

Whereas, the formal research degree in law leading to the award of Ph.D. degree in law needs to adhere to the UGC stipulated Minimum Standards and Procedures;

Whereas one of the central objects of MNLU Mumbai is to contribute meaningfully to the promotion and advancement of social justice through quality research;

Whereas training research scholars and academicians is necessary to generate a knowledge pool;

Hence, the following regulations will consider exploring goals beyond compliance to the minimum standards and procedures with respect to research techniques and methods at the coursework to set a benchmark in advanced legal education and research.

1. ADMINISTRATION

By the Post Graduate Department constituted by the Vice Chancellor.

2. INTAKE

MNLU Mumbai shall decide the number of seats available for admission in the respective areas/ domains of Ph. D. from time to time, subject to the availability of faculty supervisors/ guides in the relevant area/ specialization/ domain. This shall be done before the commencement of the admission. The Board of Studies of law constituted by the Vice Chancellor shall specify and assign scholars to the respective supervisors.

3. NOTIFYING AVAILABILITY OF SEATS

MNLU Mumbai shall notify and advertise the number of research seats available. The University shall also notify the admission details through the University Website and through at least two newspapers of which one shall be in regional language. The

admission shall be made through Ph.D Admission Test (PAT) conducted by the University. Exemption for PAT may be given to the candidates who have qualified National Eligibility Test of the UGC.

4. ELIGIBILITY

4.1 Candidates seeking admission to the Ph.D. Programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

4.2 A relaxation of 5% of marks, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

4.3 Candidates who have cleared the M.Phil. Course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph. D. Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the Commission from time to time.

4.4 Candidates who's M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D;

4.5 Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

5. DURATION

5.1 Ph.D. programme shall be of a minimum duration of three years, including course work and a maximum of six years.

5.2 Extension up to a maximum period of four semesters/two years for Ph.D. shall be given by the Research and Recognition Committee (R&R committee) on the recommendation of the Research Advisory Committee (RAC). The candidate concerned shall submit the application in prescribed format for extension through his/her Research Supervisor and Head of the Post Graduate Department three months before the expiry of the registration period.

5.3 The women candidates and persons with disability (more than 40% disability) may be allowed an additional relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave once in the entire duration of Ph.D. for up to 180 days.

6. PROCEDURE FOR ADMISSION

6.1 All admissions for M.Phil. /Ph.D. programmes shall be through an Ph.D. Registration Admission Test” (PAT), conducted by MNLU Mumbai. Candidates qualified in UGC-NET (Including JRF)/UGC-CSIR NET (Including JRF)/SLET/GATE (valid score) or teacher fellowship holders will be exempted from the Entrance Test for Ph.D. Programmes.

6.2 P.G. Admission Section of the MNLU Mumbai shall notify on an annual basis a predetermined and manageable number of Ph.D. Scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio, library and such other facilities.

6.3 The MNLU Mumbai shall notify well in advance on its website and through advertisement in at least two national newspapers, of which at least one shall be a Marathi newspaper. The details notified shall include the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

6.4 The admission to M.Phil./Ph.D. programme shall be based on the criteria notified by the MNLU Mumbai, keeping in view the guidelines/norms in this regard issued by the University Grants Commission and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government prescribed from time to time.

6.5 The candidate shall apply for PAT in the prescribed Application Form within the date notified.

6.6 The entrance test “PAT” will generally be of two hours duration. The questions will be of objective type, short answer questions and/or essay type based on Legal Research Methodology having 50% weightage and other law subjects having 50% weightage. There shall be no negative marking.

6.7 The PAT will be conducted centrally, at the scheduled time and place, as notified by the University.

6.8 The PAT qualified candidates and PAT exempted candidates, shall submit a tentative research proposal of about 1500 words including the hypothesis, the statement of problem and literature review.

6.9 Candidates seeking admission shall submit all documents as listed in proviso 15.

6.10 Based on the tentative research proposal a personal interview shall be conducted to examine the research methods & survey of literature.

6.11 All proposals shall be subjected to the MNLU Mumbai Plagiarism Policy. It shall be the responsibility of the candidates to produce anti plagiarism certificate at the time of interview and submit an undertaking to that effect. If the information is found contrary to the undertaking, the research process can be cancelled at any time.

6.12 Final selection shall be made on the basis of the personal interview. Personal Interview shall be conducted immediately after the written test. In interview the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee (DRC), and would be assessed for

6.12.1 the candidate possesses the competence for the proposed research;

6.12.2 the research work can be suitably undertaken at the University;

6.12.3 the proposed area of research can contribute to new/additional knowledge

6.13 The Department Research Committee (DRC) shall be as under:

i) Head of the Department concerned (Chairman);

ii) Two subject Experts (One from the Department concerned and One from outside);

iii) Two nominees of the Vice-Chancellor – One General and One Reservation.

6.14. Post Graduate Department shall obtain the approval of the Vice Chancellor for the committee.

6.15. The Post Graduate Department shall submit the report of the DRC with regard to the interviews to PG Admission Section of the MNLU Mumbai within a period of not more than two days from the date of completion of the interview process. PG Admission Section of the University shall publish the list of candidates selected for admission, within a period of not more than seven days of completion of the interview process.

6.16. A merit list of the selected candidates shall be prepared by Post Graduate Department in accordance with the distribution of marking criteria specified above. The merit list along with waiting list, prepared on the basis of the ranks shall be published by the University on the website.

6.17. After completion of the above process, only pre-determined number of students will be admitted to Ph. D. programme and they shall be assigned a registration number.

6.18. MNLU Mumbai shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

6.19. Categories of Enrolment:

a) The Ph.D. Degree programme shall ordinarily be a full-time programme of the University. The candidate shall put in a minimum of two-years residence of which at least 18 months shall be in the University. Minimum duration for the entire programme shall be three years, including course work and a maximum of six years.

b) A part-time candidate shall put in a minimum of four years, including course work, of part-time study in the University including time spent for research at any other place with the permission of the Supervisor. Conversion either from part-time to full-time or from full-time to part-time is to be permitted only with the approval of the Department Research Committee.

Part-time Ph.D. will be allowed provided all the conditions mentioned in the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./ Ph.D. Degrees) Regulations, 2016 are complied with Ph.D. Programme through distance education mode shall not be allowed in any case.

7. ALLOCATION OF RESEARCH GUIDE/ SUPERVISOR AND RESPONSIBILITIES

7.1. The allocation of Research Supervisor for a selected research scholar shall be decided by the University depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview with due regard to the reservation policy.

7.2. In case of topics which are of inter-disciplinary nature where the Head of the Post Graduate Department feels that the expertise in the University has to be supplemented from outside, Head of the Post Graduate Department may appoint a Research Supervisor from the University itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the University, on such terms and conditions as may be specified and agreed upon by the consenting institutions/colleges.

7.3. A Research Supervisor/Co-supervisor who is a Professor cannot guide more than three M.Phil. and eight Ph.D. scholars. An Associate Professor, as Research Supervisor can guide up to a maximum of two M.Phil. and six Ph.D. scholars and an Assistant Professor, as Research Supervisor can guide up to a maximum of one M.Phil. and four Ph.D. scholars. A supervisor ordinarily cannot have more number of Ph.D. Scholars than prescribed by UGC guidelines.

7.4. In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate, provided all the other conditions in these regulations are

followed in letter and spirit and the research work does not pertain to the project secured by the MNLU MUMBAI/ Research Supervisor from any funding agency. The scholar will however give due credit to the parent Research Supervisor and the MNLU MUMBAI for the part of research already done.

7.5. Normally a candidate shall be required to complete his/her doctoral research under the supervision of the guide allotted to him/her. However, the Research and Recognition Committee concerned may allow change of guide on the production of a 'No Objection Certificate' from the first guide and an acceptance letter from the new guide. In case of such a change, the candidate shall work for a minimum period of one calendar year under the new guide before he/she submits the thesis. A 'No Objection Certificate' will not be required if the candidate justifies the non-availability of his guide. The justification will have to be endorsed by the Head Post Graduate Department. In case of any dispute/problem, non-availability of the guide, the Vice-Chancellor on recommendation of the Head Post Graduate Department concerned, will take an appropriate decision. At any given time, a guide shall not have more than eight registered Ph.D. candidates and/or more than five M.Phil. candidates working under his/her supervision.

7.6. Its ordinarily expected from supervisor to

- a. provide academic support and practical guidance throughout the period of research till submission of thesis
- b. emphasize on academic honesty, timely submission and abiding of relevant regulations
- c. assist in integrating the candidate into the academic and social life of the department
- d. notify research scholar concerns about quality of research, if any.

7.7. Its ordinarily expected from research scholar

- a. to display initiative in identifying and resolving problems relating to the research
- b. to maintain frequent and regular but necessary contact with the supervisors
- c. to be well organised and capable of setting and meeting deadlines
- d. reflecting on and responding to feedback and guidance provided by the supervisor

8. ELIGIBILITY TO BE A SUPERVISOR/GUIDE-

8.1. Any full time, regular Professor/Associate Professor of Law would be automatically treated as Research Supervisor from the day of his appointment as regular faculty of MNLU Mumbai.

8.2. Any Associate Professor/ Assistant Professor, with a Ph.D. degree and at least two research publications as sole/first author in refereed journals may be recognized as Research Supervisor by the Research and Recognition Committee (R & R Committee). However, in areas/disciplines where there is no or only a limited number of refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor for reasons to be recorded in writing.

8.3. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the MNLU Mumbai with the approval of the Research Advisory Committee (RAC).

8.4. Any regular Professor/ a person from industry holding an equivalent post in the MNLU Mumbai with at least five research publications in refereed journals may be recognized as Research *Supervisor*.

9. PROCESS OF ADMISSION/REGISTRATION AFTER THE INTERVIEW:

9.1. A selected applicant seeking admission to the Ph.D. programme shall apply to the Registrar, MNLU Mumbai in the prescribed format (Appendix – A) along with the registration fee as may be prescribed from time to time, within a period of two months from the date of allotment of the Research Supervisor.

9.2. The applicant shall submit along with the application eight copies of his Ph.D. proposal as per the Guidelines (Appendix – B) to the University.

9.3. A selected applicant shall be required to make a brief presentation at the place of research before the Research Advisory Committee (RAC).

9.4. The presentation will be arranged by the Head of the Post Graduate Department., on a date suggested by the Research Supervisor.

9.5. In case of any suggestions given by the Research Advisory Committee (RAC) for improvement of the topic, the candidate shall make changes accordingly and present the topic again before the said Committee within a period of not more than fifteen days from the date of the earlier presentation.

9.6. After successful presentation, the Research Advisory Committee (RAC) shall prepare a report on the basis of the presentation. The Head of the Post Graduate Department shall send the report of the Research Advisory Committee along with the proposal, within period of not more than seven days, from the date of presentation to the P.G. Admission Section of the MNLU MUMBAI, to be placed before the Research and Recognition Committee (R & R Committee). The date of the successful presentation shall be treated as the date of provisional admission of the candidate and the candidate shall be given provisional admission/registration letter as per proforma given in Appendix – C.

9.7. The Research and Recognition Committee (R & R Committee) shall consider approval of the topic of research and appointment of Co-Supervisor, if necessary, from other departments of the MNLU Mumbai with the approval of the Research Advisory Committee (RAC).

9.8. If the candidate desires to modify the title and / or the proposed plan of the research, he shall apply at least one year before the date of submission of synopsis. Research and Recognition Committee concerned may approve such changes on the recommendation of the Research Supervisor and the Head of the Post Graduate Department.

9.9. Registered candidates shall not be allowed to register simultaneously for any other degree course.

9.10. The admission will be confirmed (Appendix – ‘D’) after the Research and Recognition (R&R) Committee approves the topic. The decision of the Research and Recognition Committee shall be communicated to the Head of the Post Graduate Department within seven days from the date of decision of the Research and Recognition (R&R) Committee. The Head of the Post Graduate Department shall communicate the same to the candidate concerned within three days from the date of receipt of the decision.

10. RESEARCH ADVISORY COMMITTEE (RAC) & its functions -

10.1. The Post Graduate Department shall constitute Research Advisory Committee (RAC) for respective scholars with the approval of the Vice Chancellor.

10.2. The Constitution of RAC shall be as under:

Sr. No.	Name of the Committee members	Designation
1	Supervisor/ Guide	Chairman
2	Co Supervisor/ Guide (Optional)	Member
3	One Subject Expert from the University/outside the University	Member
4	One Subject Expert, from outside, from the University	Member

10.3. RAC shall

10.3.1. Review the research proposal and finalize the topic of research;

10.3.2. Guide the research scholar to develop the study design and methodology of research and identify the course(s) that he may have to do;

10.3.3. Periodically review and assist in the progress of the research work of the research scholar.

10.4. Every scholar shall appear before the RAC once in Six months to present the progress of his/her work for evaluation and further guidance.

10.5. The half yearly progress reports submitted by the scholar shall be forwarded by the RAC to the Head of the Post Graduate Department of the University in a prescribed format with a copy to the research scholar. If a scholar fails to submit two interim reports the registration shall automatically stand cancelled.

10.6. In case the progress of the research scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to

implement these corrective measures, the Research Advisory Committee may recommend to the University, with specific reasons, a proposal for cancellation of the registration of the research scholar.

11. PRE Ph.D. COURSE WORK

11.1. A Ph.D. scholar shall be required to undertake Course Work with the following requirements:

11.2. Credit Requirements, number, duration, syllabus, minimum standards for completion, etc. for Ph.D. programme shall be as under:

11.2.1. Ph.D. course work shall be of 16 credits.

11.2.2. The course work shall be treated as prerequisite for Ph.D. Programme.

11.2.2.1. The Ph. D. Course work shall consist of the following components, namely:-

(a) Research Methodology and Computer Applications: (4 credits) 100 Marks

(b) Review of Literature- (4 credits) 100 Marks

(c) Research proposal, Research and Publication Ethics: (4 credits) 100 Marks

(d) Subject specific advanced level courses training, field work: (2 Credits) – 50 Marks

11.3. These courses shall be more in the form of seminar presentation, and workshop based on contemporary and cutting-edge research followed by a written examination.

11.4. In stipulating the content of the subject domain seminars/workshops, flexibility shall be retained so that the content may be current and contemporary.

11.5. Contents of Course 1 and Course 2 above shall be prescribed by the Board of Studies. Contents of Course 4 may be designed by the Research Supervisor taking into consideration the research area of the scholar.

11.6. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the Academic Council of the U.

11.7. The University shall prescribe the course(s) to him based on the recommendations of the Research Advisory Committee (RAC) of the research scholar.

11.8. All candidates admitted to the Ph.D. programmes shall be required to complete the course work, as approved by the Academic Council of the MNLU MUMBAI, during the initial one or two semesters.

11.9. Candidates already holding M. Phil./Ph.D. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, shall be exempted from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work approved by the Academic Council of the MNLU Mumbai.

11.10. Grades in the course work, including research methodology courses shall be finalized after a assessment by the will be done by the teachers of the Post Graduate Department or an external expert with the approval of Vice Chancellor and the final grades shall be communicated to the P.G Admission Section of the MNLU MUMBAI.

11.11. Ph.D. scholar has to obtain a minimum of 60% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade / CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

Sr. No.	Internal assessment (1/3 of maximum marks of ICA for passing)	Term end examination / aggregate passing criteria (1/3 of maximum marks for TEE for passing and 60% on aggregate)	Proposed Course of Action
1	Completed	Failed to pass	Such scholars will be required to re-register for the respective course/s in which he/ she has failed to pass, after completion of the stipulated period of entire Pre Ph.D. course work. Such scholars, thereafter, should complete the requirement of the respective course ab initio in which he/ she has failed. However, scholars who have failed to pass in more than two courses shall seek re-admission for the entire Programme
2	Not completed	Not eligible for term end examination	Such scholars will be required to re-register for the respective course/s in which he/ she has failed to pass, after completion of the stipulated period of entire Pre Ph.D. course work. Such scholar, thereafter, should complete the requirement of the respective course ab initio in which he/ she has failed. However, a scholar who has failed to pass in more than two courses shall have to seek re-admission for the entire programme.
3	Completed	Absent in term end examination due to exceptional such as hospitalization, Death in family, posting outside India etc.	Special re-examination to be conducted, on recommendation by Head (P G & Research) and approval of the Vice Chancellor

11.12 Marks should be sent to the board of examination along with the required certificates signed by the Head, Post Graduate Department, only once at the end of the semester for the publication of results.

11.13 The Registrar shall publish the marks and the requisite certificate certifying that the Ph.D. scholar has successfully fulfilled the requirements of Pre Ph. D. course work.

11.14 Wherever the course requires the candidate to carry out field work, the evaluation will be only by way of internal assessment also be made.

11.15 In case a course/ subject has evaluation component of only End Term Examination or Internal Continuous Assessment, the passing for such a course/ subject shall be 60 % of the total marks allocated to that course/ subject. If a student fails to pass in any of such course/subject/s s/he will have to appear for re-examination which will be conducted at the end of the academic year and before the next academic year begins or alternately improve the internal assessment marks (before commencement of the relevant end-term examination).

i. Proportionate course fee shall be payable by such students who fail to pass and re-register for the respective course/s.

ii. A student who fails to pass in more than two courses/ subjects at the time of completion of the stipulated period of course work, will be required to seek re-admission for the entire course work along with the subsequent batch of students by paying full fees as applicable for that year.

11.16 Upon satisfactory completion of course work, and an obtaining a minimum of 60% of marks or its equivalent grade in the UGC 7-point scale the Ph.D. Scholar shall be required to undertake research work and produce a thesis before completion of six years from the date of registration. The women candidates and persons with disability (more than 40 % disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration.

12 REGISTRATION OF THE SCHOLAR -

12.1. The title of the Scholar's proposal shall be approved by the Research Advisory Committee (RAC)

12.2. All RACs report would be considered by R&R Committee. Copy of the Research & Recognition Committee (R&RC) minutes will be sent to the Registrar by the Head Post Graduate Department.

12.3. The Registrar shall communicate to the concerned scholar about his/her registration for Ph. D. along with the approved title and validity date.

12.4. A copy of the said registration letter shall be sent to the Board of Examination by the Registrar.

12.5. The Registrar shall ensure that the above documents have been dispatched to all concerned.

12.6. The date of registration shall be the date of approval of the proposed research topic by the R&R Committee.

13. FINALIZATION/ CHANGE OF THE TITLE OF THE THESIS

The title shall be finalized within a year after the declaration of the results of the Pre - Ph. D Course Work.

Minor changes in the Title of the proposed research may be allowed only once, before the submission of the synopsis, on the request of the candidate and recommended by the respective supervisor. Request for such changes shall be placed before the RAC for its approval.

14. REPORT SUBMISSION & PRESENTATION

The Scholar shall submit through his/ her guide the report of progress in his/ her research work. These reports shall be submitted once in every six months. If in two consecutive reports the progress of a scholar's research work is found to be unsatisfactory, he / she shall be automatically deregistered from the programme.

14.1. There shall be three presentations during the programme.

14.2. On completion of three months from the date of completion of the 'course work', the candidate is required to send / submit the first report of progress to the Board of Examination which will be sent to the respective Supervisors for their evaluation and suggestions.

14.3. Thereafter the candidate will be furnished with the suggestions on the report made by the supervisors.

14.4. The first presentation should be made within one month of the receipt of the suggestions on the first report. It would require the candidate to detail out the identified problem, research outline, a set of research questions, proposed methodological approach, besides survey of literature.

14.5. On completion of six months after the first presentation, the candidate is required to submit a report of the research work which would explain and analyze the progress made by the researcher to the Board of Examination which will be sent to the respective Supervisors for their evaluation and suggestions. The candidate will be furnished with the suggestions on the report made by the supervisors.

14.6. The second presentation should be made within one month of the receipt of the suggestions on the second report. The second presentation will be evaluated by the RAC. The suggestions / comments made by the RAC will be furnished to the candidate by the respective Supervisors along with their suggestions.

14.7. The final presentation should be made at any time after completion of three years from the date of registration, once the final draft thesis is approved by the Supervisor. The candidates are required to submit 4 (four) copies of the draft thesis to the Board of Examination with an endorsement by the Supervisor. The final presentation will be scheduled approximately one month after the submission of the draft thesis and at least three months prior to the submission of the final thesis. The presentation shall be open to all faculty members and other research scholars. The feedback and comments

obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.

14.8. There shall be at least three external experts on the panel to evaluate the final presentation. The comments and suggestions of the experts shall be consolidated and made available to the supervisor for suitably advising the candidate. After incorporating the suggestions of the experts as certified by the Supervisor, the candidate is permitted to submit his final thesis.

15. GUIDELINES FOR THESIS SUBMISSION

15.1. The candidates are required to submit 4 hard copies and a soft copy of their thesis after paying the requisite fee.

15.2. The thesis shall be in English and embody original research of the candidate.

15.3. The thesis should not include work done by the candidate for any other degree successfully completed at the present University or elsewhere.

15.4. The thesis should contain a declaration by the candidate attesting the bona fide and original nature of the work.

15.5. The thesis should also contain a certificate of originality issued by the supervisor.

15.6. Before submission of M. Phil. / Ph. D. Dissertation/thesis, every candidate shall fulfill the requirements prescribed by the Academic Council of the MNLU MUMBAI with respect to detection of plagiarism and any other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall be accompanied with original report of the anti-plagiarism software approved by the MNLU MUMBAI, an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and any other academic dishonesty and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other institution.

15.7. Ph.D. scholars shall publish at least one research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints/ acceptance letter from the publisher/ editor. Guidelines for research publications issued by the MNLU MUMBAI, from time to time and displayed on the official website of the MNLU MUMBAI, will be followed.

15.8. The thesis shall be submitted in compact bound form along with a soft copy (CD) in the format and as per the details mentioned in Appendix 'G'. Along with the thesis/dissertation, five copies of the abstract shall be submitted in prescribed format to the P. G. Admission Section. P. G. Admission Section of the MNLU MUMBAI shall verify and ensure that the contents of the CD are in the format and as per the details mentioned in Appendix 'G'.

15.9. The final Ph.D. thesis dissertation shall be presented in accordance with the following specifications:

- (a) The paper used for printing shall be of A4 size.
- (b) Printing shall be in a standardized form on both sides of the paper and in 1.5 line spacing.
- (c) A margin of 1.5 inches shall be on the left hand side.
- (d) The card for cover shall not be more than 330 GSM.
- (e) The title of the thesis, name of the candidate, degree, name of the Research Supervisor, place of research and the month and year of submission shall be printed on the title page and the front cover.
- (f) The hard-bound a cover of thesis shall be of black colour. Spine of the binding [side cover] should mention 'Ph.D. thesis' on the top, name of the candidate and month and year. The specifications are shown in Appendix – 'H'.

15.10. The thesis shall include a Certificate of the Research Supervisor [Appendix 'I'] and a Declaration by the candidate [Appendix 'J'] that the work reported in the thesis/dissertation has been carried out by the candidate himself and that the material from other sources, if any, is duly acknowledged.

16. EVALUATION OF THE THESIS

16.1. The Board of Studies concerned shall recommend a list of nine examiners for evaluation of Ph.D. thesis, three shall be from the outside the State of Maharashtra and six shall be from within the State of Maharashtra.

16.2. The candidate shall submit to the P.G. Admission Section of the MNLU MUMBAI four copies of his thesis and produce an acknowledgement of the receipt of thesis by the Research Supervisor and the Head of the Post Graduate Department.

16.3. The Ph.D. thesis submitted by a research scholar shall be evaluated by his Research Supervisor and three external examiners, who are not in employment of the MNLU MUMBAI, of whom one examiner may be from outside the country.

16.4. The examiners shall send detailed evaluation reports in the pro forma sent by the university which shall include:

- i) Questions to be asked or points to be clarified at the Viva-voce examination;
- ii) A definite recommendation as to whether the thesis be accepted in the present form or with minor revisions or major revisions or to be rejected.

16.5. In case of two of the external examiners not recommending the award of the Degree, the candidate shall be provided through the Supervisor a copy of the suggestions / comments made by the examiners. The candidate is required to resubmit the thesis after incorporating the suggestions /comments and submit the modified thesis within three months along with the prescribed fee. Only one such resubmission shall be permitted.

17. RULES PERTAINING TO CONDUCT OF THE VIVA VOCE EXAMINATION

17.1. If the three examiners recommend unanimously the award of the Degree, an Open Viva-voce examination' shall be conducted by a panel constituted by the Vice-Chancellor and consisting of three examiners, of whom at least one examiner shall be from among the three examiners who evaluated the thesis. It shall be open to be attended by the members of the Research Advisory Committee, all faculty members of the University, other research scholars and other interested experts/researchers.

17.2. The public viva-voce of the research scholar to defend the Ph.D. thesis shall be conducted only if the evaluation reports of at least two external examiners are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner is unsatisfactory and does not recommend viva-voce, the University shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the R&R Committee may recommend the resubmission of the thesis with suggested changes or recommend the rejection of the thesis.

17.3. The questions which are to be asked to the doctoral scholar during the final viva voce would be shared with the guide immediately on receipt of the same from the examiner, along with the reports of the examiners, before the conduct of the viva so that the candidates are prepared for the same. This is required since the questions pertain to research related to data and are technical in nature.

17.4. The day, date, time and the place for the viva voce and the defence of thesis shall be notified by the Head of the Post Graduate Department, at least eight days in advance.

17.5. The defense of the thesis shall take place in the presence of the Research Supervisor (Internal Examiner), one External Examiner and the Chairperson, who shall jointly evaluate the performance of the candidate. In case of dispute, the Vice Chancellor shall take an appropriate decision.

17.6. The Chairman for the Viva-Voce and the Panel for defence of the thesis shall be appointed by the Head of the Post Graduate Department or by the Vice Chancellor when the Head is the Research Supervisor, from a panel of three experts suggested by the Research Supervisor.

17.7. If neither of the external examiners is able to be present at the time of the defence, the Vice-Chancellor, on the recommendation of the Research Supervisor and the HoD of the Post Graduate Department., shall appoint a senior Research Supervisor to act as an external examiner for the defence of the thesis. In case the Internal Examiner is not available, the Vice-Chancellor shall appoint one of the senior Research Supervisors on the recommendation of the HoD of the Post Graduate Department.

17.8. The examiners present for the viva-voce and the defence of the thesis shall submit to the P. G. Admission Section, MNLU MUMBAI, their final consolidated report along with the reply given to the queries raised by the external examiners in the written form, signed and accepted by the members of the Viva-Voce Panel. [Appendix 'K']

and a list of the persons attending the open defence [Appendix – ‘L’], in respect of the award of the Ph.D. degree immediately after the defence is over.

17.9. In case the defence is not satisfactory, the examiners may unanimously recommend with reasons that a fresh viva-voce and defence of the thesis be organized within a period of not less than one month. If the defence is still not satisfactory, the panel would record the reasons for the same. The Committee may recommend the resubmission of the thesis with suggested changes or recommend the rejection of the thesis.

17.10. The entire process of evaluation of Ph.D. thesis shall be completed within a period of six months from the date of submission of the dissertation/thesis.

17.11. After successful conduct of the viva-voce examination, the recommendation of the Panel to award a degree shall be placed before the Academic Council.

18. REDRESSAL OF GRIEVANCE

Grievance / complaints, if any, against any action/decision relating to admission into the PhD programme can be made in writing to the Vice Chancellor of the University, within 3 days of the decision.

18.1. In case of any dispute between a candidate and his Research Supervisor, the Committee consisting of the following shall examine the matter and report to the Vice Chancellor of the MNLU MUMBAI, whose decision shall be final.

(a) Dean Academics (Chairman);

(b) Nominee of the Vice Chancellor;

(c) The Head, Post Graduate Department (If the complaint is against Head/the Dean, he shall not participate in the proceedings of the meeting. In that case, additional member/s may be appointed by the Vice Chancellor to replace them.)

18.2. The report shall include, among other things, specific recommendations. The report shall be submitted to the Vice Chancellor of MNLU MUMBAI.

19. ISSUANCE OF PROVISIONAL CERTIFICATE

Prior to the actual award of the degree, the MNLU MUMBAI shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./ Ph.D. Degrees) Regulations, 2016.

20. DEPOSITORY WITH INFLIBNET:

20.1. After the successful completion of the candidate’s viva voce, his/her final doctoral work will be uploaded on INFLIBNET by the University, accessible to all Institutions/Universities, within a period of 30 days.

20.2. The Deputy Registrar, P. G. Admission Section, MNLU MUMBAI shall send the CD of Ph.D. thesis along with the hard copy of the thesis and the notification regarding declaration of result of Ph.D. programme to the Library of the MNLU MUMBAI. After receiving the CD of the thesis in the format suggested, the Library shall prepare

metadata of the thesis and shall then submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all institutions/colleges.

21. PUBLICATION OF PH.D. THESIS

University may allow the scholar to publish his/ her thesis in the form of a book/ any other format in case the scholar wishes so after obtaining a 'No Objection Certificate' (NoC).

22. FEE REGULATION

The fees will be payable by the scholar from time to time as per the prescribed rules in force.

Fee concessions may be made applicable to faculty members of MNLU Mumbai.

23. DOCUMENTS REQUIRED AT THE TIME OF APPLICATION AND ADMISSION

For details regarding "Documents required at the time of Admission", please see the table given below:

SR. NO.	DOCUMENTS	ORIGINAL WITH SELF-ATTESTED PHOTOCOPY
1.	Class X Mark Sheet (As proof of date of birth)	Yes
2.	*Degree Certificate/Mark Sheet of LL.B, LL.M. [Mark Sheets and Degree Certificate of all degree courses need to be provided]	Yes
3.	National Eligibility Test Score Card such as NET/SET/JRF (if any)	Yes
4.	NOC from the employer (only for part-time candidates)	Yes
5.	Certificate of Medical fitness from a registered medical practitioner	Yes

24. WITHDRAWAL POLICY

24.1. All requests for withdrawal of admission must be made in writing by the applicant stating reasons for the same and must be accompanied with the original fee receipt.

Requests made verbally or by fax/email or without the original fee receipt shall not be entertained.

24.2. The written application of withdrawal must be submitted to the Head, Post Graduate Department, duly forwarded by the Supervisor. The student should also provide a No Dues Certificate along with this application.

24.3. In case a scholar withdraws his / her admission to Ph.D. programme, the fee deposited by him / her shall not ordinarily be refunded in any form.

24.4. The refund of fee, if applicable, shall be governed by University Norms & Policy.

25. DOCTORAL RESEARCH COMMITTEE

All matters referred to the Head, Post Graduate Department, shall be placed before the Doctoral Research Committee and then placed before the Vice Chancellor for approval.

The Doctoral Research Committee shall consist of:

- (i) The Vice-Chancellor or his nominee - **Chairman**
- (ii) The Head of the Post Graduate Department - **Member and Convener**
- (iii) Two experts in the subjects, not below the rank of Associate Professor, Who have successfully guided at least two Ph.D. students and/or have published research work in recognized or reputed national or international journals, one of them being from outside the University, preferably from the corporate world nominated by the Vice Chancellor - **Member**
- (iv) Two experts in area of the specialization nominated by the Vice-Chancellor, where a referee is to be appointed for evaluation of highly specialized topic or subject - **Member**

26. AMENDMENT CLAUSE

The Head, Post Graduate Department, in consultation with Vice Chancellor will be authorized to incorporate necessary changes in this regulation from time to time. However, all such changes will be reported to Board of Studies and Academic Council of the University.

27. SUPERSEDING CLAUSE

In case of any contradictions/ explanations/ clarifications in the said policy, the decision of the Vice Chancellor shall be final.

28. JURISDICTION

Disputes, if any, arising out of The Regulations, 2016 are subject to jurisdiction of Courts in Mumbai.

29. REPEAL & SAVING CLAUSE

- i) MNLU Mumbai DOCTOR OF PHILOSOPHY (Ph.D.) REGULATION 2016 is hereby repealed.
- ii) Notwithstanding such repeal, anything done or any action taken or purported to have done or taken under the Regulation hereby repealed shall, in so far as it is not inconsistent with the provisions of this new regulation 2019, be deemed to have been done or taken under the corresponding provisions of this regulation 2019.

30. HELPLINE / WHOM TO CONTACT

For general queries related to Ph.D. admission (Management) contact the following:

Head, Post Graduate Department

Phone: 022-25703187, 022-25703188

Website: www.mnlumumbai.edu.in

31. IMPORTANT DATES

Sr. No.	Details	Date
1.	Advertisement for Ph.D. admission (on Website or Newspaper) and Application forms available online)	
2.	Last date for submission of fully filled application form	
3.	Entrance Test (PAT) and Interview	
4.	Online display of first admission list	
5.	Last date for fee deposition by candidates in the first list	
6.	Online display of second admission list	
7.	Last date for fee deposition by candidates in the second list	
8.	Registration dates	
9.	Commencement of classes	



MAHARASHTRA NATIONAL LAW UNIVERSITY MUMBAI

Appendix 'A'

Application for Admission for the degree of Doctor of Philosophy (Ph.D.) (To be forwarded through the Head, Place of Research)

1. Faculty:
2. Subject:
3. Research Topic:

**To,
The Registrar
Maharashtra National Law University Mumbai
Mumbai - 400076 (INDIA)
Tel./Mobile No.:
E-mail:**

Dear Sir,

I hereby apply for admission to the Ph.D. Degree. I state that I have not been admitted as a student for this or any other Degree in this or any other University. The required details about myself are as follows:

1. **Name in full (in Capital Letters)** : _____
(Beginning with Surname) (Surname) (Name) (Father/Husband) (Mother's Name)
2. **Date of Birth** :
3. **Gender** : Male/Female/Transgender
(Strike out whichever is not applicable)
4. **Nationality** :
5. **Permanent Address** :
6. **Present (Local) Address** :
7. **I belong to the category mentioned below**
(Please Tick the appropriate box & attach attested caste certificate)

1 Open Categor y	2 SC	3 ST	4 DT(A)	5 NT(B)	6 NT(C)	7 NT(D)	8 OBC	9 SBC
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--	--	--	--	--	--	--	--	--

8. Present Occupation/Employment : _____
(Give Name and Address of the Employer)

9. Particulars of Degrees previously obtained:
(attach attested copies of statement of marks and certificates)

Degree	University	Year of Passing	Subject offered	Class Grade	% Grade points
Bachelor's Degree					
Master's Degree					
M. Phil. Degree					
Any other Degree					

10. Particulars of Publications :

Title of the Paper/Book	Name of the Journal/ Publisher	Place and Year of publication

11. Details of Teaching Experience :

Name of the College	Subject(s) Taught	Year(s)

12. Details of professional experience, if any (Attach necessary Certificates) :

- (i) Nature of Professional Experience :
- (ii) The Institute where Professional experience was gained :
- (iii) Period of Professional experience :

13. (i) Title of M. Phil. Dissertation, if applicable :

(ii) Is the Proposed topic of Doctoral Research related to or an expansion of the M.Phil. Dissertation? : Yes / No

14. Name of the Research Guide : _____
(under whom I propose to work for my Ph.D.)

15. Name of Co-Guide, if any :

16. Name and address of the approved :
place of research (where I desire to do Doctoral Research)

All the particulars given above are true to the best of my knowledge .I have read the Rules for the Degree of Doctor of Philosophy (Ph.D.) and I undertake to abide by them. I also undertake to regularly report at the Place of Research unless otherwise permitted by the Head, Place of Research on the recommendation of the Research Guide.

Eight copies of the research outline (as per appendix 'B' of the Rules) and a Xerox copy of the registration fee receipt are enclosed.

Thanking you

Yours Sincerely,

Name of applicant

Date :

Place :

Recommendation of the Guide

I, _____ am willing to supervise their search work of Mr. / Ms. and I recommend that he/she be given provisional admission.

Ref. No. of Recognition letter: _____
Presently _____ students are pursuing their research work under my supervision.

Date:

Research Guide:

N.B.: The Research Guide is expected to communicate separately to the Head, Place of Research two names of Research Guides as per Rule 6 (6.3) and time and date for the presentation. Decision of the Head, Place of Research

On verifying the relevant documents I found the student *eligible/ not eligible* for admission to the degree of Doctor of Philosophy (Ph. D.) of the Maharashtra National Law University Mumbai. He / She has been *provisionally admitted/ not admitted* to the Ph. D. Programme as a student of the University Department/Research Institute/ Centre (a copy of the letter has been attached).

The presentation has been scheduled at am /pm _____ on (Day) _____ (Date). The Report of the presentation will be forwarded to the University office immediately after the scheduled date.

Date:

Head Place of Research

Seal of the Place of Research

Decision of the Research and Recognition Committee

On the basis of the merit of the proposal and the report of the presentation, the Committee

- (a) Approve/s the topic of Research/ recommends the following change in the topic:
- (b) Appoint/s the following Co-Guide:

Chairman

Date:

Research and Recognition Committee

Appendix 'B'

Submission of Research Proposal

Along with the application form (for Registration) the researcher has to submit eight copies of his/her research proposal. This is a brief description of the research plan which should include:

- a. rationale and significance of the study,
- b. a survey of work done in the research area and the need for more research,
- c. a statement of aims and objectives,
- d. methodologies and techniques to be used,
- e. the kinds of conclusions expected and their possible value,
- f. plan of research, and
- g. bibliography.

The expected length of the research proposal is six to eight double-spaced A-4 size pages.

The names of the researcher and the proposed guide and the topic of research should be printed at the top. Both the guide and the researcher should sign the proposal.

Appendix 'C'

Provisional Admission / Registration

Ref: _____

Date:

To,

.....
.....

Subject: Your application for Registration for Ph. D. dated

Dear Mr. / Ms. _____

I am happy to inform you that you have been provisionally admitted to the Ph. D. Programme in _____ (subject) _____ (Faculty) of the Maharashtra National Law University Mumbai with effect from _____. Your place of research will be _____.

Your proposal is being forwarded to the University office for approval of the research topic. Your admission/ registration will be confirmed after the University authorities approve the research topic.

Your presentation is scheduled atam/pm on..... (Day), (date).

Yours faithfully,

Director / Head

Place of Research

Copy to:

1. The Dy. Registrar (PhD Unit), Maharashtra National Law University Mumbai - 400076.
2. The Guide: Prof. /Dr.
3. The Co-Guide: Prof./Dr.....

Appendix 'D'

Confirmation of Admission/ Registration

Ref: _____

Date:

To,

.....
.....

Subject: Confirmation of admission to the Ph.D. in (subject)

Dear Mr. / Ms. _____

I am happy to inform you that the Research and Recognition Committee has approved your research topic as it is/with the modification/s as follows:

.....
.....

Your admission is now confirmed as per Ph.D. Rules 6 (6.10). The details of your admission are:

1. Subject :
2. Faculty :
3. Guide :
4. Co-Guide :
5. Date of Registration :
6. Period of Registration : fromto.....

Please note that your admission will be governed by the Maharashtra National Law University Mumbai rules for the Degree of Doctor of Philosophy (Ph.D.) with effect from, 2019.

Please also note you will have to pay the fees prescribed as per the following schedule:
The first installment will have to be paid within a month from the date on which your admission is confirmed. The successive installments will have to be paid within a month from the date of completion of each year. In case of failure to pay the prescribed fees as per the schedule mentioned, a late fee of Rs. 100/- for Indian students & Rs.500/-for foreign students per month from the due date of payment shall be charged.

Thanking you

Yours faithfully

Head, Place of Research

Copy to:

1. The Dy. Registrar (PhD Unit), Maharashtra National Law University Mumbai - 400076.

2. The Guide: Prof. /Dr.
3. The Co-Guide: Prof./Dr.....

Appendix 'E'

Submission of Progress Report

The registered research students have to submit progress reports after every six months. The report should include an elaborate description of the reading, writing, data collection or any other work done in relation to the research. The researcher can also mention difficulties encountered, if any.

The guide is expected to certify the report and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not.

Appendix 'F'

Submission of Synopsis

After the pre-submission seminar, the researcher has to submit fifteen copies of the Synopsis of his/her thesis through the guide .A synopsis is viewed as a mini version of the thesis. It should provide a clear idea about what the thesis is. It should (a) state the objectives, nature and scope of the work done, (b) state the principle conclusions, and (c) state the contribution made to the body of knowledge on the subject.

The expected length of the synopsis is ten double-spaced A-4 size pages. The synopsis will be put on the University website.

Appendix 'G'

Rules for submission of CD of Ph. D. thesis

1. The candidate shall store in a CD each chapter of his thesis in a separate pdf file using file naming format as prescribed by “Shodhganga”. The file naming format is given below:

File naming format:

Name	Type
01_title.pdf	PDF File
02_certificates.pdf	PDF File
03_acknowledgements.pdf	PDF File
04_contents.pdf	PDF File
05_preface.pdf	PDF File
06_list of tables figures.pdf	PDF File
07_chapter 1.pdf	PDF File
08_chapter 2.pdf	PDF File
09_chapter 3.pdf	PDF File
10_chapter 4.pdf	PDF File
11_chapter 5.pdf	PDF File
12_chapter 6.pdf	PDF File
13_chapter 7.pdf	PDF File
14_references.pdf	PDF File

1.1 As per this format, each component of the thesis shall be stored in separate pdf file as listed above.

1.2 All files shall be stored in the single CD.

1.3 Each file shall be given the name as given in the above format.

1.4 Candidate shall not protect the files in the CD with password.

Appendix 'H'

Cover page for Ph. D. Thesis

<p>PH.D THESIS</p> <div style="border: 1px solid black; padding: 10px; text-align: center; margin: 10px auto; width: 80%;"> <p>WRITE HERE NAME OF THE CANDIDATE</p> </div>	<p>“Write here title of the thesis in all upper-case (capital letters) with a ‘centre’ alignment. Place this title on the upper central part of the cover with sufficient margin from top and both sides. Use font size suitable to length of the title.”</p> <p>A THESIS SUBMITTED TO MAHARASTRA NATIONAL LAW UNIVERSITY FOR AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)</p>
<p>MONTH & YEAR</p>	
<p>Spine</p>	<p>SUBMITTED BY</p> <p>-----</p> <p>-----</p> <p>UNDER THE GUIDANCE OF</p> <p>.....</p> <p>.....</p> <p>WRITE HERE MONTH & YEAR OF SUBMISSION</p>

Appendix 'I'

Certificate of the Guide

CERTIFIED that the work incorporated in the thesis(Title)
Submitted by Mr./Ms.was carried out by the candidate under my
supervision/ guidance. Such material obtained from other sources has been duly
acknowledged in the thesis.

Date:

(Supervisor/ Research Guide)

Appendix 'J'

Declaration by the Candidate

I declare that the thesis entitled submitted by me for the degree of Doctor of Philosophy is the record of work carried out by me during the period fromTo.....

Under the guidance of and has not formed the basis for the award of any degree, diploma, associate ship, fellowship, titles in this or any other University or other institution of Higher learning.

I further declare that the material obtained from other sources has been duly acknowledged in the

This is.

Date:

Signature of the Candidate

Appendix 'K'

Consolidated Report of the Referees on the Viva Voce

The viva-voce of Mr. / Ms.....was conducted on(Day and date). We have conducted the open defence of the Ph.D. thesis entitled:

.....
.....
.....

In the Maharashtra National Law University Mumbai on

The performance of the candidate was satisfactory / unsatisfactory. We recommend that he/she be awarded the Ph.D. Degree / should not be awarded the Ph.D. Degree and the viva voce be arranged again on

(Guide)

(External Referee)

(Chairman)

Appendix 'L'

MAHARASHTRA NATIONAL LAW UNIVERSITY MUMBAI
(Attendance Sheet)

Ph.D. Viva Voce of

Subject

Date of Viva.....

Time

Venue

List of the persons attending the Open Defence.

Sr. No.	Name of the Person	Signature
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		

Annexure M

Ref No.

Date:

MAHARASHTRA NATIONAL LAW UNIVERSITY MUMBAI

Rules for Recognition of Ph. D. Research Guide

1) **General Rules:**

- a) Applicant has to submit his/her online application form within the time frame notified by the University.
- b) Hard copies of the application form should be sent to the Assistant Registrar, Academics along with the necessary documents.
- c) Applicant should be a full-time permanently approved regular teacher (not adjunct faculty) working in Maharashtra National Law University Mumbai.

2) **Rules for Recognition as Ph. D. Research Guide for all faculties:**

- a) Any full time regular Professor/Associate Professor appointed and confirmed would be deemed as Ph.D. Research Guide of the University
- b) Assistant Professor of the University with a Ph.D. degree and at least two research publications in refereed journals as sole or first author.

AND

Three years after awarding Ph.D. degree with minimum 10 years teaching/research experience.

OR

Candidates having Ph.D. at the time of appointment should have 5 years teaching/research experience.

3) **Conditions Governing the Ph. D. Research Guideship:**

- a) If an applicant is awarded recognition as a Research Guide the recognition letter for the guideship will be issued upto his/her attaining the age of 60 years or till he/she is a regular faculty in the University.
- b) Applicant shall not be eligible for making fresh application for Ph.D. guide, if he/she has attained the age of 60 years at the time of making application.
- c) It is essential to take a co-guide (should be approved Research Guide of Maharashtra National Law University Mumbai.) in case of those Ph.D. Research Guides whose remaining length of service as a 'Regular Faculty' is not more than two years.
- d) No fresh Ph.D 'Research Students' should be registered with Ph.D. Research Guides whose remaining length of service as a 'Regular Faculty' is not more than two years.

**Registrar,
Maharashtra National Law University Mumbai**